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021204  
16310  
U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>Attorney Docket No.</b>	Ken Riley
<b>First Inventor</b>	Ken Riley
<b>Title</b>	Head Support Device For Use When Lying In The Prone Position
<b>Express Mail Label No.</b>	EJ636806483US

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>	
See MPEP chapter 600 concerning utility patent application contents.		Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27. 2. <input checked="" type="checkbox"/> Specification [Total Pages 29 ] 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4 ] 4. Oath or Declaration [Total Pages 2 ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37CFR 1.63(d)) (for continuation/divisional with Box 13 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents) 7. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) <input checked="" type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Copy of IDS from Prior Application 8. <input type="checkbox"/> Preliminary Amendment 9. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 10. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 11. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 12. <input checked="" type="checkbox"/> Other: <u>Power of Attorney</u>	

13. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_  
*Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_*

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure to the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	62- 20 =	42	X \$9 =	\$378
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3 - 3 =	0	X \$43 =	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$145 =	\$0
				BASIC FEE (37 CFR 1.16(a))	\$385
				TOTAL to be CHARGED =	\$763

Enclosed is a check made payable to the Commissioner of Patents for the amount specified.

### 13. CORRESPONDENCE ADDRESS

NAME Mark L. Davis	SIGNATURE <i>Mark L. Davis</i>	DATE February 12, 2004
ADDRESS P.O. Box 9293 Gray, TN 37615-9293	REGISTRATION NUMBER: 34,574	EMAIL: mldavis@mounet.com
	PHONE: 423.477.9401	
	FAX: 423.477.9402	

### CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this Utility Patent Transmittal and the Documents referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: February 12, 2004

*Mark L. Davis*  
Mark L. Davis

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



021204

## IN THE UNITED STATES PATENT OFFICE

In re: Ken Riley

: Art Unit:

Examiner: Unknown

Date filed: February 12, 2004

:

Docket No.: Ken Riley

Serial No.:

:

Date Mailed: February 12, 2004

Confirmation No.:

17548 U.S.PTO  
10/777346



021204

Title: Head Support Device For Use When Lying In The Prone Position

Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING 37 CFR 1.10

I hereby certify that this correspondence, and any documents referred to herein as being enclosed herewith, is/are on the date shown above, being deposited with the United States Postal Service "Express Mail Post Office to Addressee" to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 Express Mail Label No.

Mark L Davis  
 Mark L. Davis

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**PAYMENT OF FEES**  
**37 C.F.R. § 1.22(b)**


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Sir:

Itemization of the fees payable is as follows:

- |  |                      |
|--|----------------------|
| <input checked="" type="checkbox"/> Utility application filing fee | \$ <u>763.00</u>     |
| <input checked="" type="checkbox"/> Small Entity                   |                      |
| <input type="checkbox"/> Large Entity                              |                      |
| <input type="checkbox"/> Design application filing fee             | \$ <u>          </u> |
| <input checked="" type="checkbox"/> Assignment                     | \$ <u>40.00</u>      |
| <input type="checkbox"/> Addition of claims due to amendment       |                      |

	Claims remaining after amendment	Highest number previously paid for	present extra	Rate	Additional fee
Total		minus		X \$	\$
Independ		minus		X \$	\$
First presentation of multiple dependent claim				\$	\$
Total additional fees				\$	

- Surcharge for late payment of filing fee / late filing of original declaration or oath. \$ \_\_\_\_\_
- Petition and fee for filing by other than all the inventors or a person not the inventor. \$ \_\_\_\_\_
- Petition and fee for unintentional abandonment, 37 CFR 1.137(b) \$ \_\_\_\_\_
- Petition and fee for \_\_\_\_\_ \$ \_\_\_\_\_
- Fee for terminal disclaimer, 37 CFR 1.321. \$ \_\_\_\_\_
- This is a petition for extension of time under the provisions of 37 CFR §1.136(a) to extend the period for filing a reply to the Official Office Action mailed \_\_\_\_\_ and having a shortened statutory period for response ending \_\_\_\_\_. The requested extension and appropriate fee are as follows:

- one month \$ \_\_\_\_\_
- two month \$ \_\_\_\_\_
- three month \$ \_\_\_\_\_
- four month \$ \_\_\_\_\_
- five month \$ \_\_\_\_\_

which would extend the period for response to and include until \_\_\_\_\_.

- Issue Fee  
a. Small entity \$ \_\_\_\_\_  
b. Large entity \$ \_\_\_\_\_
- Publication Fee \$ \_\_\_\_\_
- Other : \_\_\_\_\_ \$ \_\_\_\_\_

Total fees payable .....\$ 803.00

Payment of the above total fees payable is by:

- A check made payable to the Commissioner of Patents.
- Credit Card. Form PTO-2038 (or equivalent) is attached.
- Prior authorization for the Commissioner to charge any fees necessary for an extension of time to a Deposit Account.

- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number \_\_\_\_\_. Please consider any future response requiring a petition for an extension of time under 37 C.F.R. § 1.136(a) for a timely submission as incorporating a petition for extension of time for the appropriate length of time. Please charge this deposit account for all other required fees under 37 C.F.R. § 1.17 (a)-(d).

**A DUPLICATE COPY OF THIS PAPER IS ENCLOSED**

I am the

- attorney or agent of record.  
 attorney or agent under 37 CFR §1.34(a)

Respectfully submitted,

Mark L. Davis  
Mark L. Davis  
Attorney for Applicant  
Reg. No. 34,574

IN THE UNITED STATES PATENT OFFICE

In re: Ken Riley : Art Unit:  
Date filed: February 12, 2004 : Examiner: Unknown  
Serial No.: : Docket No.: Ken Riley  
Confirmation No.: : Date Mailed: February 12, 2004

Title: Head Support Device For Use When Lying In The Prone Position

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

**37 C.F.R. § 1.10**

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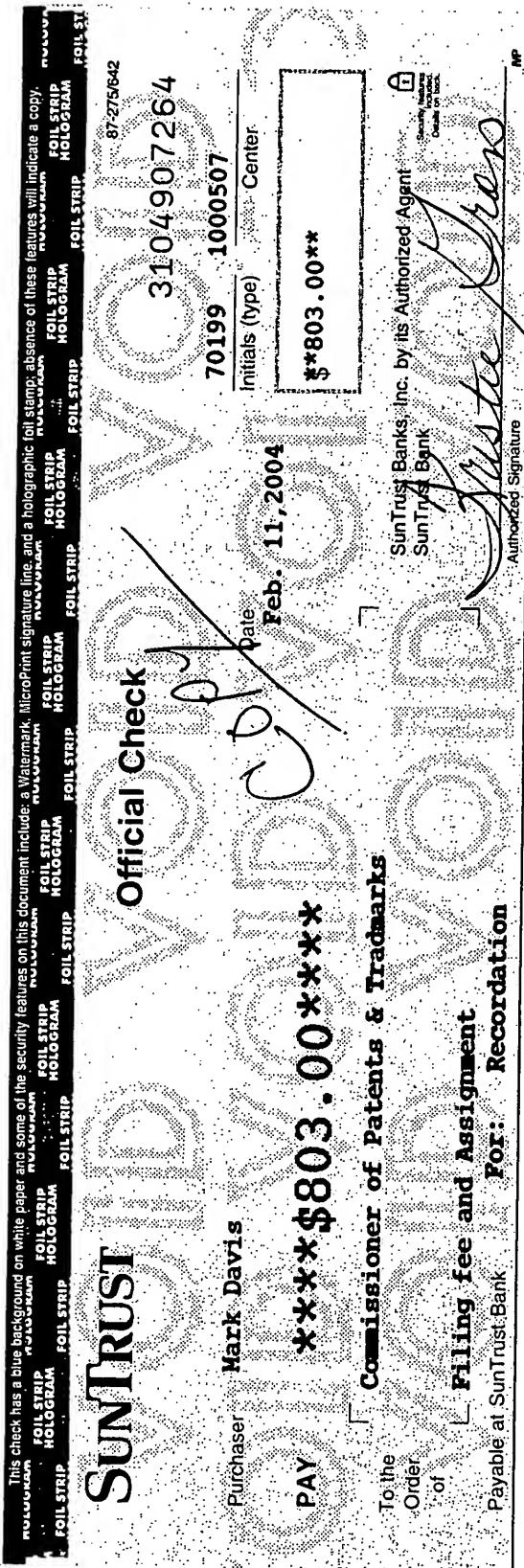
Sir,

I hereby certify that, on the date indicated above, the documents listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1. Utility Patent Application Transmittal.
2. Utility patent application having 29 pages total: 17 pgs of spec; 11 pgs of claims; and 1 page of Abstract.
3. Formal Drawings - 4 pages.
4. Fee Indication Form - 3 pages.
5. Check in the amount of \$803 payable to the Commissioner of Patents and Trademarks.
6. Executed Declaration - 2 pages.
7. Executed Power of Attorney - 2 pages
8. Executed Assignment with coversheet - 3 pages.
9. Information Disclosure Statement coversheet - 1 page.
10. Information Disclosure Statement form 1449 listing - 2 pages.
11. References cited in item #10.
12. Post card receipt.

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